# A Fully Polynomial Time Approximation Scheme for the Smallest Diameter of Imprecise Points

Vahideh Keikha<sup>1</sup>, Maarten Löffler<sup>2</sup>, and Ali Mohades<sup>3</sup>

- 1 Department of Mathematics and Computer Science, University of Sistan and Baluchestan, Zahrdan, Iran, va.keikha@aut.ac.ir.com
- 2 Department of Information and Computing Sciences, Utrecht University, Utrecht, The Netherlands, m.loffler@uu.nl
- 3 Department of Mathematics and Computer Science, Amirkabir University of Technology, Tehran, Iran, mohades@aut.ac.ir

#### — Abstract

Given a set  $D = \{d_1, ..., d_n\}$  of imprecise points modeled as disks, the minimum diameter problem is to locate a set  $P = \{p_1, ..., p_n\}$  of fixed points, where  $p_i \in d_i$ , such that the furthest distance between any pair of points in P is as small as possible. This introduces a tight lower bound on the size of the diameter of any instance P. In this paper, we present a fully polynomial time approximation scheme (FPTAS) for this problem that runs in  $O(n^3 \epsilon^{-2})$  time, where the input is a set of disjoint disks.

## 1 Introduction

One of the most extensively quantitative techniques used to deal with uncertainty of the input is the *Region-based model*, where the input is a set  $R = \{r_1, r_2, \ldots, r_n\}$  of regions and each region represents an imprecise point. In this model, the minimum diameter problem was studied by Löffler and van Kreveld [1], who presented a PTAS in  $O(n^{3\pi/\sqrt{\epsilon}})$  time, where the uncertainty of the input was modeled by arbitrary disks. In the same paper, the authors also presented an exact algorithm that runs in  $O(n \log n)$  time and computes an upper bound on the diameter (maximum diameter problem). Recently, a new approximation algorithm was presented for this problem, where the uncertainty of the input is modeled by convex objects in *d*-dimensional space [5]. The presented algorithm runs in  $O(2^{\epsilon^{-d}}\epsilon^{-2d}n^3)$  time. The minimum diameter problem is also studied in other models of uncertainty [2–4, 6, 9].

**Contribution.** We formulate our problem as follows. We are given a set  $D = \{d_1, d_2, \ldots, d_n\}$  of imprecise points modeled as disjoint disks; choose a set  $P = \{p_1, \ldots, p_n\}$  of points, where  $p_i \in d_i$ , such that the size of the diameter of P is as small as possible among all choices for each  $p_i$ .

As for the result, we present an FPTAS for this problem which runs in  $O(n^3 \epsilon^{-2})$  time (Section 3).

## 2 Preliminaries

In terms of definitions and terminology, we will follow Löffler and van Kreveld [1]. For a given set  $D = \{d_1, d_2, \ldots, d_n\}$  of imprecise points modeled as disks, an *extreme disk*  $d_i \in D$  has a line  $\ell$  tangent to some



**Figure 1** Critical sequence (gray disks).

point on the boundary of  $d_i$ , where no other disk can have its interior completely on the same side of  $d_i$ , unless it is tangent to  $\ell$ , as illustrated in Figure 1. The *critical sequence*  $\Delta_D$  is the set of all extreme disks of D. Without loss of generality, suppose the elements of  $\Delta_D$  are ordered clock-wise. The ordered set  $\Delta_D$  can be found in  $O(n \log n)$  time [8].

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In the minimum diameter problem it is possible that the diameter occurs at several pairs at the same time, and many points are involved in the diameter, such that moving any of them would increase the distance between at least one pair of them. This property makes the problem difficult (see Figure 3(right)). In this situation, the diameter constructs a graph, the star graph. A star graph G is defined as  $G = (P^*, E)$ , where  $P^* = \{b_1, ..., b_m\}$   $(m \le n)$ is a collection of points, such that  $b_i \in d_i$  for some i, and all the elements of E have equal length, which is the optimal diameter and denoted by  $d^*$ . Since this is the diameter, no two points can be more than  $|d^*|$  away from each other. It follows that all the elements of E must intersect each other, and the path makes an angle of at most  $60^{\circ}$  at each vertex. Thus the degree of each vertex of G can be at most two, and each element of  $P^*$  (with degree 2) is in *balance* between its neighbors, that is, it could move closer to one neighbor but only by moving further from the other neighbor. Note that we can remove any vertex in this graph that can come closer to some element without moving further from another element. Also notice that all n points could be involved in such a construction, where none of the points can be moved without increasing the diameter. The elements of  $P^*$  are called *bends*. Indeed, computing the exact positions of the bends is a difficult problem. Any two adjacent disks on  $\Delta_D$  introduce a common tangent line (see Figure 1). The extreme arc  $\alpha_i$  of an extreme disk  $d_i$  is defined by two disks  $d_{i-1}$  and  $d_{i+1}$  that are neighbors of  $d_i$  on  $\Delta_D$ , such that the endpoints of  $\alpha_i$  are the touching points of the common tangent lines, as illustrated in Figure 1. Note that  $\alpha_i$  is the part of the boundary of disk  $d_i$  that must contain  $b_i$ . Let  $r_i$ and  $c_i$  denote the radius and the center of  $d_i$ , respectively. The distance from a point x on disk  $d_i$  to a disk  $d_j$  is the minimum distance between x and any point on  $d_j$ . The distance between two arcs  $\alpha_i$  and  $\alpha_i$  (resp. two disks  $d_i$  and  $d_i$ ) is the minimum distance from any point on  $\alpha_i$  (resp.  $d_i$ ) to any point on  $\alpha_i$  (resp.  $d_i$ ).

▶ **Observation 2.1.** For a given set D of n unit disks with  $n \ge 3$ , the smallest diameter  $|d^*|$  is at least 0.28 and for  $n \ge 7$ ,  $|d^*| \ge 2$ .

The observation can be easily proved by considering Figure 2.

▶ Lemma 2.2. Let  $D = \{d_1, ..., d_n\}$  be a set of disjoint disks, and let  $|\alpha_i|$  denote the size of the constructed angle where the two lines through the endpoints of the extreme arc  $\alpha_i$  of extreme disk  $d_i$  meet the center. Then  $\sum_{i=1}^n |\alpha_i| = 2\pi$ .

**Cherry disks.** For any disk  $d_i$  that shares bend  $b_i$  on the star graph, there always exist two other disks  $d_j, d_k \in \Delta_D$  with  $j \neq k$ , such that bend  $b_i$  is in balance between them, that is  $b_i$  could move closer to one of them but only by moving further from the other one. We call  $d_j$  and  $d_k$  the *cherry disks* of  $d_i$ . An example is illustrated in Figure 3. If a disk  $d_i \in \Delta_D$  does not have two cherry disks,  $d_i$  cannot introduce a bend on the star graph. It is an interesting open



**Figure 2** (left) For  $n \ge 3$ ,  $|d^*| \ge 0.28$ . (right) For  $n \ge 7$ ,  $|d^*| \ge 2$ .

question to compute all the possible cherry disks efficiently, since then the minimum diameter problem can be formulated as a second order cone program, and its optimal solution can be computed in quadratic time [7]. This results in an  $O(n^2n!)$  time exact algorithm.<sup>1</sup>

For any disk  $d_i \in \Delta_D$ , let  $e_{ij}$  and  $e_{ik}$  denote the intersection of disk  $d_i$  by line segments  $c_i c_j$  and  $c_i c_k$ , respectively (see Figure 3(right)), such that  $d_j$  and  $d_k$  are the cherry disks of

<sup>&</sup>lt;sup>1</sup> In a model of computation that we can exactly compute the roots of any constant degree polynomials.



**Figure 3** (left) Extreme disk  $d_i \in D$  has two cherry disks  $d_j$  and  $d_k$ . (right) All the cherry disks of D; the constructed star graph on them is shown in green. Bend  $b_i$  is located in the interval  $[e_{ij}, e_{ik}]$ .

 $d_i$ . The points  $e_{ij}$  and  $e_{ik}$  are the startpoint and the endpoint of the arc on which bend  $b_i$  on  $\alpha_i$  is in balance between the cherry disks of  $d_i$  (which we will prove later). We denote this interval by  $[e_{ij}, e_{ik}]$ . Obviously  $d_i$  is also a cherry disk for both  $d_j$  and  $d_k$ .

▶ Lemma 2.3. Let  $d_j$  and  $d_k$  with j < k denote the cherry disks of  $d_i$ . Then  $b_i$  is located in the interval  $[e_{ij}, e_{ik}]$ .

**Proof.** Suppose this is false. Then bend  $b_i$  is strictly located either before  $e_{ij}$  or after  $e_{ik}$  (on the boundary of  $d_i$ ). Consider the case where  $b_i$  is strictly located before the position  $e_{ij}$  (the other case is similar). In this case, at least  $b_j$  is strictly located after  $e_{ji}$  (on the cw ordering of the boundary of  $d_j$ ). But then the two disks (or even one) which determine the position of  $b_j$  on  $d_j$  must be located between  $d_i$  and  $d_j$ . Let  $d_p$  and  $d_q$  denote these disks. Since  $b_j$  is strictly located after  $e_{ji}$ , at least one of  $d_p$  and  $d_q$  is different from  $d_i$ . Clearly,  $b_i b_k$ ,  $b_j b_p$  and  $b_j b_q$  are some edges of the star graph. But  $b_j b_p$  and  $b_j b_q$  never intersect the edge  $b_i b_k$ . This gives a contradiction with the fact that all the edges of the star graph are pairwise intersecting.

### 3 Minimum diameter problem

If the disks are not unit but still disjoint, Observation 2.1 holds if the smallest disk is a unit disk.

## 3.1 Unit disks

From Lemma 2.2 we know that if D consists of disjoint disks, the total sum of the angles of extreme arcs equals  $2\pi$ . First, suppose D consists of disjoint unit disks. We proceed by *covering* the boundary of a unit disk U by all the extreme arcs of set  $\Delta_D$ , such that they just intersect at the endpoints, as illustrated in Figure 4(a). This covering is indeed a translation transformation. We decompose the boundary of U into smaller, equal-length *sub-arcs* by regularly inserting  $2\pi/\epsilon$  points. Then, for any disk  $d_i$ , the added points on the boundary of U which is covered by  $\alpha_i$  will be transferred to the boundary of  $d_i$ , as illustrated in Figure 4(a). Consequently the extreme arcs get divided into sub-arcs of length at most  $\epsilon$ .

Recall that  $P^*$  denote the optimal point set. Let  $P' = \{p_1, ..., p_m\}$  denote the optimal point set restricted to the endpoints of the sub-arcs. The set P' minimizes the furthest distance between any pair of points on P' among all possible choices for  $P'^2$ . Let d denote the diameter of P'. As said before,  $d^*$  denote the optimal diameter of  $P^*$ . We will show that d approximates  $d^*$  within a factor  $(1 + \epsilon)$ .

For any disk  $d_i \in \Delta_D$ , we define the *optimal sub-arc*  $\alpha_i^*$  that includes (if any) the bend  $b_i$ . Also,  $\alpha_i^*$  minimizes the difference of distances of the endpoints of  $\alpha_i^*$  to the approximated cherry disks of

<sup>&</sup>lt;sup>2</sup> We use the name P for the set of all the candidate points of set D, where  $P' \subseteq P$ . It is easy to observe that the diameter d' of P' equals the diameter d of P.



 $d_i$ , where the diameter which realizes by this selection of  $\alpha_i^*$ , is as small as possible. Note that in the optimal solution, the length of each  $\alpha_i^*$  equals 0.

We will postpone the discussion of computing the optimal sub-arcs, and we first consider how set P' approximates the minimum diameter. Note that the optimal diameter  $d^*$  at least equals the largest distance between any two optimal sub-arcs (where the distance between two arcs  $\alpha_i$  and  $\alpha_j$ is the minimum distance from any point on  $\alpha_i$  to any point on  $\alpha_j$ ). Thus, we show that for any two optimal sub-arcs which include the vertices of the potential minimum diameter, the ratio of the smallest distance to the furthest distance equals  $(1 + \epsilon)$ . There exist two configurations to consider the ratio of the smallest distance to the furthest distance of a pair of optimal sub-arcs.

The case where d and  $d^*$  intersect each other (see Figure 4(b)). Let  $d_1$  and  $d_2$  (resp.  $d_1^*$  and  $d_2^*$ ) denote the two segments which are determined on d (resp.  $d^*$ ) by intersection with  $d^*$  (resp. d), such that  $d_1$  and  $d_1^*$  form a triangle, where the endpoints of its base are located on an optimal sub-arc.

Since the length of the optimal sub-arc is at most equal to  $\epsilon$ , by the triangle inequality we have  $|d_1^*| + \epsilon > |d_1|$  and  $|d_2^*| + \epsilon > |d_2|$ , and since  $|d^*| \ge 2$ ,  $|d| \le |d^*|(1 + \epsilon)$ .

The case where d and d<sup>\*</sup> do not intersect each other, in which case d<sup>\*</sup> selects its two vertices at the endpoints of its optimal sub-arcs, or d<sup>\*</sup> selects one vertex at the middle of one of its optimal sub-arcs (as illustrated in Figure 4(c,d)). Let  $\theta_1$  and  $\theta_2$  denote the angles between d and (tangents of) the optimal sub-arcs, then  $|d| \le \epsilon(\cos \theta_1 + \cos \theta_2) + |d^*|$ . This again gives us  $|d| \le |d^*|(1 + \epsilon)$ .

**Computing the optimal sub-arcs.** Let *m* denote the number of extreme disks of *D*. We show that for any disk  $d_i \in \Delta_D$ , we can find  $\alpha_i^*$  efficiently. For any disk  $d_i \in \Delta_D$  we first select point  $p_i$  which is chosen to be one of the endpoints of the sub-arcs of  $\alpha_i$ . This is the initialization of set P'. Then, during the algorithm, we try to move each element of P' to its best position, so that the final set P' minimizes the diameter among all possible choices for P'. Indeed, for any disk  $d_i$  we look for the optimal sub-arc  $\alpha_i^*$ , where one of the endpoints of  $\alpha_i^*$  determines one element of P'.

In each step of the algorithm we start by computing the diameter of P'. Let d' denote the diameter of P' with  $p_i$  and  $p_j$  as the vertices. If  $p_i$  (or  $p_j$ ) is not yet in balance, we move it forward among the endpoints of the sub-arcs of  $\alpha_i$  in the direction that the size of d' is decreasing. In each possible movement, we update the size of d', and stop moving  $p_i$ , when in the next movement, the distance of  $p_i$  to any other point  $p_k$  will be greater than the current size of d'. Let d'' < d' denote the diameter with a vertex at  $p_j$ . Then we move  $p_j$  forward in the direction that the size of d'' is decreasing, and also we update the size of d'' in each movement, until in the next movement, the distance of  $p_j$  to any other point  $p_l$  is greater than the current size of d''. We also repeat this procedure for  $p_k$  and  $p_l$ , respectively, by computing the corresponding diameter with a vertex at  $p_k$  and  $p_l$ , respectively. We stop this step when we have checked/corrected the position of all the elements of P', each of which one time.

In the second step, we again start by computing the diameter of P'. We continue above procedure, until we check the position of all the elements of P'. Since the vertices of the diameter may already be in balance, it is not always possible to move them to reduce the diameter. In the following we prove that it is always possible to reduce the value of the diameter after  $O(m\epsilon^{-1})$  consecutive steps of the algorithm.

In the last step of the algorithm, we only can check the position of all the elements of P', while no other movement is possible. This way we have approximated the cherry disks of any disk  $d_i$ , and also one endpoint of the optimal sub-arc  $\alpha_i^*$ . The other endpoint is the one which is closer to both cherry disks of  $d_i$ .

▶ Lemma 3.1. After at most  $O(m\epsilon^{-1})$  steps of the algorithm, the size of d' reduces by a factor of  $\sqrt{2}/2$ .

**Proof.** In the worst case, in each step, we only could move one point  $p_a$  to its balanced position. Then in the next step, at least another point  $p_b$ , with  $b \neq a$  can be moved (otherwise the algorithm will be terminated) which could not be moved in the previous step. This point can only be the point which previously was in balance between  $p_a$  and another point  $p_c$ . Then we may go back to move  $p_b$ , and then  $p_a$  and  $p_c$ , if they make a bend with its two cherry disks. Since the distances of the bend from its cherry disks are only decreasing, in at most  $O(\epsilon^{-1})$  consecutive steps of the algorithm, either the algorithm stops, or we can move a new point which is distinct from  $p_a$ ,  $p_b$  and  $p_c$ . Consequently, after at most  $O(m\epsilon^{1-})$  steps, we have changed the position of all the elements of P', and since we have reduced all the furthest distances of the bends from the corresponding cherry disks, the size of the diameter is decreased. Now we clarify the changes on the size of the diameter that occur during the algorithm. Let  $p_i$  and  $p_j$  denote the vertices of the diameter d' which we have reduced its value. Thus we at least move one vertex of the diameter from a position  $x_i$  to  $x_{i+1}$ .

Let  $\theta_1$  denote the angle subtended by the arc with length  $\epsilon$  at the center of  $d_i$ , and let  $\theta_2$  denote the determined angle by the intersection of  $p_j x_i$  and the tangent line of  $d_i$  at  $x_i$ , and let  $\theta_3$  denote the angle between  $p_j x_i$  and  $p_j x_{i+1}$ , as illustrated in the below Figure. Notice that the size of the angles  $\theta_2$  and  $\theta_3$  change during the algorithm. Also let y denote the height of triangle  $x_i x_{i+1} p_j$  from the triangle's vertex  $x_{i+1}$  to the base  $p_j x_i$ , and let p denote the intersection point of y and  $p_j x_i$ . Since  $|y| < |x_i x_{i+1}|$  and,  $|x_i x_{i+1}| < 2$  and  $|p_j x_i| = |d'| \ge 2$ , the angle  $|\theta_3| < 45^\circ$ . Consequently  $\frac{|y|}{|d'|} < \frac{\sqrt{2}}{2}$ . Also since  $|p_j x_{i+1}| = |d''| \ge 2$ ,  $|x_i x_{i+1}| < 2$  and  $|\theta_3| < 45^\circ$ , the angle  $|\theta_2| > 45^\circ$ . Then we have  $\frac{|y|}{|d''|} < \frac{\sqrt{2}}{2}$  and  $\frac{|x_i p|}{|x_i x_{i+1}|} < \frac{\sqrt{2}}{2}$ , and thus  $\frac{|x_i p| \cdot |y|}{|x_i x_{i+1}| \cdot |d''|} < \frac{2}{4}$ . Since  $\frac{|y|}{|x_i x_{i+1}|} < \frac{\sqrt{2}}{2}$ ,  $|x_i p| < \frac{1}{\sqrt{2}} |d''|$  and since |d''| < |d'| we have  $|x_i p| < \frac{1}{\sqrt{2}} |d'|^3$ .

The importance of the reduced value from the diameter is on the convergence of the iterative process. Also  $2 \leq |d^*| < |d_{max}|$ , where  $d_{max}$  denote the maximum diameter of D (it can be computed in  $O(n \log n)$  time [1]). Obviously the same bound also holds for d'. Consequently, the algorithm will be terminated after  $O(m\epsilon^{-1}(\log_{\sqrt{2}}|d_{max}|))$  steps. Since  $\log_{\sqrt{2}}|d_{max}|$  is a constant, we omit it from the total running time. Now we consider the running time of each step.

Since we know the cw order of the elements of P', the diameter of P' can be computed in linear time in each step of the algorithm. In each movement of any element  $p_i$  of P', we should be careful for not increasing the size of the diameter with a vertex at  $p_i$ . Thus we costs  $O(m + m\epsilon^{-1})$  for each element in one step. The later m is the time



costs to check whether the corresponding element gets in balance or not. Thus the algorithm takes  $O(m^3 \epsilon^{-1}(1 + \epsilon^{-1}))$  time.

#### ▶ Lemma 3.2. For any disk $d_i \in \Delta_D$ , computed $\alpha_i^*$ includes possible bend $b_i$ .

**Proof.** Suppose this is false. Then  $b_i$  is located on a sub-arc  $\alpha'_i$  which is distinct from  $\alpha^*_i$ . Then either we have passed over this sub-arc during the algorithm, or we did not find it and we stopped. Let  $d_j$  and  $d_k$  denote the approximated cherry disks of  $d_i$  by the algorithm.

In the first case, at both endpoints of  $\alpha'_i$ , the computed distance of  $d_i$  to both  $d_j$  and  $d_k$  must be greater than our current diameter, while we have found a solution with strictly a smaller size. This contradicts the optimality of the computed minimum diameter.

In the second case, since  $\alpha'_i$  and  $\alpha^*_i$  are distinct, at least one computed cherry disk for  $\alpha'_i$  has to be distinct from  $d_j$  or  $d_k$  (if not;  $\alpha'_i = \alpha^*_i$ , and we are done). But then we could move  $p_i$  to

<sup>&</sup>lt;sup>3</sup> Notice that this lemma holds for a set of arbitrary disks where the smallest disk is a unit disk, since we do not let the length of the sub-arcs (which is  $\epsilon$ ) on the smallest disk to be as large as  $\pi$ , |y| must always be smaller than 2.

reduce the distance of  $d_i$  to at least one of  $d_j$  and  $d_k$ . This contradicts the stop criterion of the algorithm.

## 3.2 Disks with different size

In the case where D consists of arbitrary disjoint disks, the total sum of the angles of the extreme arcs still equals  $2\pi$ , but with the idea we used on unit disks, the optimal subarcs will not necessarily have the same length. In this case, we first apply the presented constant factor approximation algorithm [1] for the minimum diameter problem on a set of disks. The presented algorithm approximates the smallest diameter within a constant factor c in linear time. Let d' denote the approximated smallest diameter of D within factor c. We define  $h = \epsilon \cdot c \cdot |d'|$  as the new length of the sub-arcs. Note that if h is greater than the extreme arc of a disk  $d_i$ , we consider  $\alpha_i$  instead of a sub-arc of length h. In this case, the total sum of the lengths of the extreme arcs is bounded by  $|2\pi(cd'/2)|$ , since any circle



**Figure 5** The maximum possible length for an extreme arc appears between two disks  $d_i$  and  $d_j$  with  $|r_i| = |cd'|/2$  and  $|r_j| \approx 0$ . Thus the total sum of the extreme arcs is bounded by  $|2\pi(cd'/2)|$ . Note that the subtended angle of a sub-arc cannot equals  $\pi$ , it is supposed so to compute the upper bound.

whose radius is greater than |cd'/2| will share an extreme arc with less curvature (and thus with less arc length), also any circle whose radius is less than |cd'/2| will share an extreme arc with a shorter arc length (see Figure 5). Thus the maximum number of the points that approximates the extreme arcs is bounded by  $\frac{2\pi(cd'/2)}{h}$ , which is in  $O(\epsilon^{-1})$ . Since computed sub-arcs admit the same length h, the considered ratio of the furthest distance to the smallest distance between the optimal sub-arcs (in Section 3.1) still holds, and the presented algorithm works in  $O(n^3\epsilon^{-2})$  time.

▶ **Theorem 3.3.** Given a set of n disjoint disks, the problem of choosing a point on the boundary of each disk such that the diameter of the resulting point set is as small as possible can be approximated within a factor  $(1 + \epsilon)$  in  $O(n^3 \epsilon^{-2})$  time.

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